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| U.S. Department of Commerce, Patent and Trademark Office   |  | Docket No.                         | Serial No.           |
| (PTO Form 1449 modified)                                   |  | APPM/5269                          | 09/820,586           |
| <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b> |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation<br>No.: |
| (Use several sheets if necessary)                          |  | Filing Date                        | Group                |
| Examiner Unknown   |  | March 28, 2001                     | 1763                 |

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| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date Appropriate |
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| Thm               | A1  | 6,159,871       | 12/12/2000 | Loboda, et al.    | 438   | 786      | 05/29/1998              |
|                   | A2  | 6,147,009       | 11/14/2000 | Grill, et al.     | 438   | 780      | 06/29/1998              |
|                   | A3  | 6,140,226       | 10/31/2000 | Grill, et al.     | 438   | 637      | 07/30/1998              |
|                   | A4  | 6,124,641       | 09/26/2000 | Matsuura          | 257   | 759      | 12/08/1997              |
|                   | A5  | 6,072,227       | 06/06/2000 | Yau, et al.       | 257   | 642      | 07/13/1998              |
|                   | A6  | 6,068,884       | 05/30/2000 | Rose, et al.      | 427   | 255.6    | 04/28/1998              |
|                   | A7  | 6,054,379       | 04/25/2000 | Yau, et al.       | 438   | 623      | 02/11/1998              |
|                   | A8  | 6,054,206       | 04/25/2000 | Mountsier         | 428   | 312.8    | 06/22/1998              |
|                   | A9  | 6,040,011       | 03/21/2000 | Yudovsky, et al.  | 427   | 255.28   | 06/24/1998              |
|                   | A10 | 6,033,480       | 03/07/2000 | Chen, et al.      | 118   | 715      | 10/15/1996              |
|                   | A11 | 6,022,809       | 02/08/2000 | Fan               | 438   | 710      | 12/03/1998              |
|                   | A12 | 5,985,033       | 11/16/1999 | Yudovsky, et al.  | 118   | 715      | 07/11/1997              |
| Thm               | A13 | 5,961,774       | 10/05/1999 | Tamura, et al.    | 156   | 345      | 08/01/1997              |

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|-------------------|----|-----------------|------------|---------|-------|----------|-------------------------------------|-------------------------------------|
|                   |    |                 |            |         |       |          | YES                                 | NO                                  |
| Thm               | B1 | 196 54 737 A1   | 07/03/1997 | DE      | H01L  | 21/31    | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|                   | B2 | 198 04 375 A1   | 01/07/1999 | DE      | H01L  | 21/312   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|                   | B3 | 199 04 311 A1   | 08/12/1999 | DE      | C23C  | 16/44    | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|                   | B4 | 44 04 690 A1    | 08/17/1995 | DE      | C23C  | 16/22    | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
| Thm               | B5 | 0 289 402 A1    | 11/02/1988 | EP      | C09D  | 1/00     | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |

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| Thm               | C2 | Grill, et al., "Novel Low k Dielectrics Based on Diamondlike Carbon Materials", <i>J. Electrochem. Soc.</i> , 145(5) (May 1998), pp.1649-1653   |

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Date Considered 2/3/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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|                   | A14 | 5,891,799       | 04/06/1999 | Tsui               | 438   | 624      | 08/18/1997                 |
|                   | A15 | 5,888,593       | 03/30/1999 | Petrmichl, et al.  | 427   | 563      | 04/12/1996                 |
|                   | A16 | 5,888,304       | 03/30/1999 | Umotoy, et al.     | 118   | 720      | 04/02/1996                 |
|                   | A17 | 5,882,419       | 03/16/1999 | Sinha, et al.      | 118   | 729      | 09/29/1997                 |
|                   | A18 | 5,882,417       | 03/16/1999 | van de Ven, et al. | 118   | 728      | 12/31/1996                 |
|                   | A19 | 5,874,367       | 02/23/1999 | Dobson             | 438   | 787      | 06/30/1993                 |
|                   | A20 | 5,860,640       | 01/19/1999 | Marohl, et al.     | 269   | 289R     | 11/29/1995                 |
|                   | A21 | 5,858,880       | 01/12/1999 | Dobson, et al.     | 438   | 758      | 05/10/1995                 |
|                   | A22 | 5,851,299       | 12/22/1998 | Cheng, et al.      | 118   | 729      | 03/25/1994                 |
|                   | A23 | 5,834,162       | 11/10/1998 | Malba              | 430   | 317      | 10/28/1996                 |
|                   | A24 | 5,827,408       | 10/27/1998 | Raaijmakers        | 204   | 192.12   | 07/26/1996                 |
|                   | A25 | 5,821,168       | 10/13/1998 | Jain               | 438   | 692      | 07/16/1997                 |
| TAM               | A26 | 5,810,931       | 09/22/1998 | Stevens, et al.    | 118   | 721      | 07/30/1996                 |

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|                   |     |                 |            |         |       |          | YES                      | NO                       |
| TAM               | B6  | 0 343 502 A2    | 11/29/1989 | EP      | H01L  | 21/00    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B7  | 0 456 372 A1    | 11/13/1991 | EP      | H01L  | 21/00    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B8  | 0 456 426 A1    | 11/13/1991 | EP      | H01L  | 21/00    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B9  | 0 467 623 A2    | 01/22/1992 | EP      | C23C  | 16/54    | <input type="checkbox"/> | <input type="checkbox"/> |
| TAM               | B10 | 0 469 926 A1    | 08/03/1999 | EP      | C08J  | 7/06     | <input type="checkbox"/> | <input type="checkbox"/> |

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| JHM               | C4                     | Nara, et al., "Low Dielectric Constant Insulator Formed by Downstream Plasma CVD at Room Temperature Using TMS/O <sub>2</sub> ", <i>Jap. J. Appl. Phys.</i> , 36 (1997), pp.1477-1480 |
| Examiner          | Date Considered 2/3/03 |   |

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| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT                                  |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation No.<br>1763 |
| (Use several sheets if necessary)  |  | Filing Date<br>March 28, 2001      | Sup<br>1763              |
| Examiner<br>Unknown  |  |                                    |                          |

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| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
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| THM               | A27 | 5,807,785       | 09/15/1998 | Ravi              | 438   | 624      | 08/02/1996                 |
|                   | A28 | 5,800,877       | 09/01/1998 | Maeda, et al.     | 427   | 535      | 08/09/1996                 |
|                   | A29 | 5,789,319       | 08/04/1998 | Havemann, et al.  | 438   | 668      | 02/26/1996                 |
|                   | A30 | 5,766,365       | 06/16/1998 | Umotoy, et al.    | 118   | 728      | 06/07/1995                 |
|                   | A31 | 5,753,564       | 05/19/1998 | Fukada            | 437   | 238      | 06/07/1995                 |
|                   | A32 | 5,753,133       | 05/19/1998 | Wong, et al.      | 216   | 67       | 07/11/1994                 |
|                   | A33 | 5,749,999       | 05/12/1998 | Dandia            | 156   | 285      | 02/04/1994                 |
|                   | A34 | 5,739,579       | 04/14/1998 | Chiang, et al.    | 257   | 635      | 09/10/1996                 |
|                   | A35 | 5,738,165       | 04/14/1998 | Imai              | 165   | 80.2     | 06/05/1995                 |
|                   | A36 | 5,728,276       | 03/17/1998 | Katsuki, et al.   | 204   | 298.11   | 08/21/1995                 |
|                   | A37 | 5,725,718       | 03/10/1998 | Banholzer, et al. | 156   | 345      | 08/24/1994                 |
|                   | A38 | 5,716,486       | 02/10/1998 | Selwyn, et al.    | 156   | 345      | 04/19/1996                 |
| THM               | A39 | 5,703,493       | 12/30/1997 | Weeks, et al.     | 324   | 755      | 10/25/1995                 |

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|-------------------|-----|-----------------|------------|---------|-------|----------|--------------------------|--------------------------|
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| THM               | B11 | 0 489 439 A1    | 06/10/1992 | EP      | C23C  | 16/44    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B12 | 0 519 079 A1    | 12/23/1992 | EP      | H01L  | 21/312   | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B13 | 0 522 799 A2    | 01/13/1993 | EP      | H01L  | 21/90    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B14 | 0 533 129 A2    | 03/24/1993 | EP      | C23C  | 16/40    | <input type="checkbox"/> | <input type="checkbox"/> |
| THM               | B15 | 0 589 678 A2    | 03/30/1994 | EP      | H01L  | 23/02    | <input type="checkbox"/> | <input type="checkbox"/> |

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| THM               | C6 | Bunshah, et al., <u>Deposition Technologies for Films and Coatings</u> , Noyes Publications (1982), pp. 339, 357-359 & 366-367                                 |

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| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT                                  |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation No.<br>1700 |
| (Use several sheets if necessary)  |  | Filing Date<br>March 28, 2001      | Group<br>2002            |
| Examiner<br>Unknown  |  |                                    |                          |

## U.S. Patent Documents

| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date if Appropriate |
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|                   | A40 | 5,703,404       | 12/30/1997 | Matsuura          | 257   | 758      | 12/24/1996                 |
|                   | A41 | 5,700,720       | 12/23/1997 | Hashimoto         | 437   | 195      | 12/20/1995                 |
|                   | A42 | 5,693,563       | 12/02/1997 | Teong             | 437   | 190      | 07/15/1996                 |
|                   | A43 | 5,683,940       | 11/04/1997 | Yahiro            | 437   | 195      | 12/20/1995                 |
|                   | A44 | 5,679,413       | 10/21/1997 | Petrmichl, et al. | 427   | 534      | 10/11/1996                 |
|                   | A45 | 5,665,167       | 09/09/1997 | Deguchi, et al.   | 118   | 728      | 02/14/1994                 |
|                   | A46 | 5,638,251       | 06/10/1997 | Goel, et al.      | 361   | 313      | 10/03/1995                 |
|                   | A47 | 5,637,351       | 06/10/1997 | O'Neal, et al.    | 427   | 255.3    | 05/11/1995                 |
|                   | A48 | 5,632,873       | 05/27/1997 | Stevens, et al.   | 204   | 298.15   | 05/22/1995                 |
|                   | A49 | 5,632,872       | 05/27/1997 | Dattilo           | 204   | 209R     | 06/21/1995                 |
|                   | A50 | 5,618,619       | 04/08/1997 | Petrmichl, et al. | 428   | 334      | 03/03/1994                 |
|                   | A51 | 5,616,369       | 04/01/1997 | Williams, et al.  | 427   | 536      | 06/24/1994                 |
| THM               | A52 | 5,611,865       | 03/18/1997 | White, et al.     | 118   | 725      | 02/14/1996                 |

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| THM               | B16 | 0 595 307 A2    | 05/04/1994 | EP      | H01L  | 21/00    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B17 | 0 598 362 A1    | 05/25/1994 | EP      | C23C  | 14/50    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B18 | 0 619 381 A1    | 10/12/1994 | EP      | C23C  | 16/00    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B19 | 0 650 181 A2    | 04/26/1995 | EP      | H01J  | 37/20    | <input type="checkbox"/> | <input type="checkbox"/> |
| THM               | B20 | 0 688 888 A2    | 12/27/1995 | EP      | C23C  | 16/44    | <input type="checkbox"/> | <input type="checkbox"/> |

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| THM               | C7 | McClatchie, et al., "Low Dielectric Constant Oxide Films Deposited Using CVD Techniques", DUMIC Conference (Feb. 16-17, 1998), pp. 311-318                  |
| THM               | C8 | Loboda, et al., "Using Trimethylsilane to Improve Safety, Throughput and Versatility in PECVD Processes", <i>Electrochem. Proc.</i> Vol. 97-10, pp. 443-453 |

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| Examiner Unknown   |  |  |  |  |                                    |  |                          |  |

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| *Examiner Initial     |     | Document Number | Issue Date | Applicant(s) Name  | Class | Subclass | Filing Date If Appropriate |
| THM                   | A53 | 5,599,740       | 02/04/1997 | Jang, et al.       | 437   | 190      | 11/16/1995                 |
|                       | A54 | 5,598,027       | 01/28/1997 | Matsuura           | 257   | 635      | 12/21/1995                 |
|                       | A55 | 5,593,741       | 01/14/1997 | Ikeda              | 427   | 579      | 06/28/1995                 |
|                       | A56 | 5,578,523       | 11/26/1996 | Fiordalice, et al. | 437   | 190      | 05/18/1995                 |
|                       | A57 | 5,574,247       | 11/12/2001 | Nishitani, et al.  | 118   | 708      | 06/21/1994                 |
|                       | A58 | 5,563,105       | 10/08/1996 | Dobuzinsky, et al. | 437   | 240      | 09/30/1994                 |
|                       | A59 | 5,559,367       | 09/24/1996 | Cohen, et al.      | 257   | 77       | 07/12/1994                 |
|                       | A60 | 5,558,721       | 09/24/1996 | Kohmura, et al.    | 118   | 730      | 11/10/1994                 |
|                       | A61 | 5,556,476       | 09/17/1996 | Lei, et al.        | 118   | 728      | 10/21/1994                 |
|                       | A62 | 5,554,570       | 09/10/1996 | Maeda, et al.      | 437   | 235      | 01/09/1995                 |
| THM                   | A63 | 5,540,821       | 07/30/1996 | Tepman             | 204   | 192.13   | 07/16/1993                 |
|                       | A64 | 5,534,110       | 07/09/1996 | Lenz, et al.       | 156   | 643.1    | 07/30/1993                 |

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| *Examiner Initial        |     | Document Number | Date       | Country | Class | Subclass | Translation                         |                          |
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|                          | B22 | 0 711 817 A2    | 05/15/1996 | EP      | C09D  | 183/04   | <input type="checkbox"/>            | <input type="checkbox"/> |
|                          | B23 | 0 721 019 A2    | 07/10/1996 | EP      | C23C  | 16/40    | <input type="checkbox"/>            | <input type="checkbox"/> |
|                          | B24 | 0 721 019 A3    | 07/27/1997 | EP      | H01L  | 21/768   | <input type="checkbox"/>            | <input type="checkbox"/> |
| THM                      | B25 | 0 743 675 A1    | 11/20/1996 | EP      | H01L  | 21/316   | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

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|                   | C10 | Noguchi, et al., "Liquid Phase Oxidation Employing O Atoms Produced by Microwave Discharge and Si(CH <sub>3</sub> ) <sub>4</sub> ", Extended Abstr. Of 19 <sup>th</sup> Conf. on Solid State Devices and Materials, Tokyo (1987), pp. 451 - 454 |

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|                   | A66 | 5,530,581       | 06/25/1996 | Cogan             | 359   | 265      | 05/31/1995                 |
|                   | A67 | 5,518,593       | 05/21/1996 | Hosokawa, et al.  | 204   | 192.12   | 09/08/1994                 |
|                   | A68 | 5,516,367       | 05/14/1996 | Lei, et al.       | 118   | 725      | 11/21/1994                 |
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|                   | A71 | 5,492,736       | 02/20/1996 | Laxman, et al.    | 427   | 579      | 11/28/1994                 |
|                   | A72 | 5,488,015       | 01/30/1996 | Havermann, et al. | 437   | 195      | 05/20/1994                 |
|                   | A73 | 5,476,548       | 12/19/1995 | Lei, et al.       | 118   | 728      | 06/20/1994                 |
|                   | A74 | 5,474,612       | 12/12/1995 | Sato, et al.      | 118   | 725      | 05/11/1994                 |
|                   | A75 | 5,468,520       | 11/21/1995 | Williams, et al.  | 427   | 560      | 06/24/1994                 |
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|                   | B27 | 0 771 886 A1    | 05/07/1997 | EP      | C23C  | 16/36    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B28 | 0 774 533 A1    | 05/21/1997 | EP      | C23C  | 16/40    | <input type="checkbox"/> | <input type="checkbox"/> |
|                   | B29 | 0 825 279 A1    | 02/25/1998 | EP      | C23C  | 16/44    | <input type="checkbox"/> | <input type="checkbox"/> |
| TAM               | B30 | 0 885 983 A1    | 12/23/1998 | EP      | C23C  | 16/30    | <input type="checkbox"/> | <input type="checkbox"/> |

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| TAM               | C12 | Pierson, <u>Handbook of Chemical Vapor Deposition</u> , Noyes Publications (1992), pp.208-209   |
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| U.S. Department of Commerce, Patent and Trademark Office   |  | Docket No.                         | Serial No.           |
| (PTO Form 1449 modified)                                   |  | APPM/5269                          | 09/820,586           |
| <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b> |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation<br>No.: |
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| Examiner Unknown   |  | March 28, 2001                     | 1763                 |

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|                   | A83 | 5,437,752       | 08/01/1995 | Lang                | 156   | 210      | 04/04/1994                 |
|                   | A84 | 5,421,401       | 06/06/1995 | Shertsinsky, et al. | 165   | 80.2     | 01/25/1994                 |
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|                   | A86 | 5,383,971       | 01/24/1995 | Selbrede            | 118   | 728      | 03/11/1992                 |
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| TAM               | B31 | 0 926 715 A2    | 06/30/1999 | EP      | H01L  | 21/3105  | <input type="checkbox"/> | <input type="checkbox"/>            |
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|                   | B34 | 2.171.877       | 09/28/1973 | FR      | C23C  | 11/00    | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
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| (PTO Form 1449 modified)                                   |  | APPM/5269                          | 09/820,586           |
| <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b> |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation<br>No.: |
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| Examiner Unknown   |  | March 28, 2001                     | 1763                 |

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|                   | B39 | 05-267,480      | 10/15/1993 | JP      | H01L  | 21/90    | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
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| <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>                           |  | Applicant<br>Rocha-Alvarez, et al. | Confirmation No.:        |
| (Use several sheets if necessary)  |  | Filing Date<br>March 28, 2001      | Group<br>1763            |
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| Examiner Unknown   |  | March 28, 2001                     | 1763                 |

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| THM               | B46 | 09-008,03T      | 01/10/1997 | JP      | H01L  | 21/316   | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
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| <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>  |      |   |               |                      | Applicant<br>Rocha-Alvarez, et al. |          | Confirmation<br>No.:                |                          |
| (Use several sheets if necessary)   |      |   |               |                      | Filing Date<br>March 28, 2001      |          | Group<br>1763                       |                          |
| Examiner<br>Unknown   |      |   |               |                      |                                    |          |                                     |                          |
| <b>U.S. Patent Documents</b>  |      |   |               |                      |                                    |          |                                     |                          |
| *Examiner<br>Initial  |      | Document<br>Number  | Issue<br>Date | Applicant(s)<br>Name | Class                              | Subclass | Filing Date If<br>Appropriate       |                          |
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|   | A127 | 5,040,046   | 08/13/1991    | Chhabra, et al.      | 357                                | 54       | 10/09/1990                          |                          |
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| *Examiner<br>Initial  |      | Document<br>Number  | Date          | Country              | Class                              | Subclass | Translation                         |                          |
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|                   | A138 | 4,853,102       | 08/01/1989 | Tateishi, et al.  | 204   | 298      | 12/24/1987                 |
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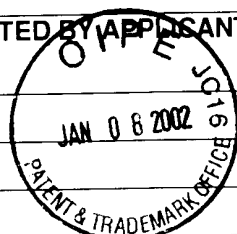
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|                   | B56 | 60-111,480      | 06/17/1985 | JP      | H01L  | 33/00    | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B57 | 61-099,149      | 05/17/1986 | JP      | G03G  | 5/082    | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                   | B58 | 00/01012        | 01/06/2000 | WO      | H01L  | 23/48    | <input type="checkbox"/>            | <input type="checkbox"/> |
| TAM               | B59 | 90/13687        | 11/15/1990 | WO      | C30B  | 25/10    | <input type="checkbox"/>            | <input type="checkbox"/> |

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|  |         |                                    |                          |
|--|---------|------------------------------------|--------------------------|
| U.S. Department of Commerce, Patent and Trademark Office<br>(PTO Form 1449 modified) |         | Docket No.<br>APPM/5269            | Serial No.<br>09/820,586 |
| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT                                  |         | Applicant<br>Rocha-Alvarez, et al. | Confirmation No.:        |
| (Use several sheets if necessary)  |         | Filing Date<br>March 28, 2001      | Group<br>1763            |
| Examiner   | Unknown |                                    |                          |



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| *Examiner Initial |      | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
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| THM               | A150 | 4,557,946       | 12/10/1985 | Sacher, et al.    | 427   | 41       | 06/03/1983                 |
|                   | A151 | 4,473,455       | 09/25/1984 | Dean, et al.      | 204   | 298      | 03/14/1983                 |
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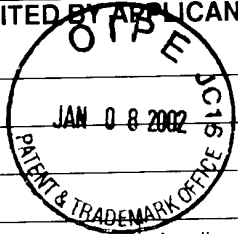
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| THM               | B60 | 92/12535        | 07/23/1992 | WO      | H01L  | 21/312   | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|                   | B61 | 94/01885        | 01/20/1994 | WO      | H01L  | 21/316   | <input type="checkbox"/> | <input type="checkbox"/>            |
|                   | B62 | 94/01597        | 01/20/1994 | WO      | C23C  | 16/04    | <input type="checkbox"/> | <input type="checkbox"/>            |
|                   | B63 | 95/04373        | 02/09/1995 | WO      | H01L  | 21/68    | <input type="checkbox"/> | <input type="checkbox"/>            |
| THM               | B64 | 98/08249        | 02/26/1998 | WO      | H01L  | 21/312   | <input type="checkbox"/> | <input type="checkbox"/>            |

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|---|-----|--|---------------|----------------------|------------------------------------|----------|-------------------------------|--------------------------|
| U.S. Department of Commerce, Patent and Trademark Office  |     |  |               |                      | Docket No.                         |          | Serial No.                    |                          |
| (PTO Form 1449 modified)  |     |  |               |                      | APPM/5269                          |          | 09/820,586                    |                          |
| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT   |     |  |               |                      | Applicant<br>Rocha-Alvarez, et al. |          | Confirmation<br>No.:          |                          |
| (Use several sheets if necessary)   |     |  |               |                      | Filing Date                        |          | Group                         |                          |
| Examiner Unknown  |     |  |               |                      | March 28, 2001                     |          | 1763                          |                          |
| U.S. Patent Documents   |     |  |               |                      |                                    |          |                               |                          |
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|   |     |  |               |                      |                                    |          |                               |                          |
| Foreign Patent Documents  |     |  |               |                      |                                    |          |                               |                          |
| *Examiner<br>Initial  |     | Document<br>Number   | Date          | Country              | Class                              | Subclass | Translation                   |                          |
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| Tkm   | B65 | 98/59089   | 12/30/1998    | WO                   | C23C                               | 16/30    | <input type="checkbox"/>      | <input type="checkbox"/> |
|   | B66 | 99/38202   | 07/29/1999    | WO                   | H01L                               | 21/312   | <input type="checkbox"/>      | <input type="checkbox"/> |
|   | B67 | 99/41423   | 08/19/1999    | WO                   | C23C                               |          | <input type="checkbox"/>      | <input type="checkbox"/> |
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| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. |     |  |               |                      |                                    |          |                               |                          |

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|---|-----|--|------------|-------------------|------------------------------------|----------|----------------------------|--------------------------|
| U.S. Department of Commerce, Patent and Trademark Office<br>(PTO Form 1449 modified)  |     |  |            |                   | Docket No.<br>APPM/5269            |          | Serial No.<br>09/820,586   |                          |
| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT<br>(Use several sheets if necessary)  |     |  |            |                   | Applicant<br>Rocha-Alvarez, et al. |          | Confirmation No.:          |                          |
| Examiner Unknown  |     |  |            |                   | Filing Date<br>March 28, 2001      |          | Group<br>1763              |                          |
| <div style="text-align: center;">  </div>  |     |  |            |                   |                                    |          |                            |                          |
| <b>U.S. Patent Documents</b>  |     |  |            |                   |                                    |          |                            |                          |
| *Examiner Initial   |     | Document Number  | Issue Date | Applicant(s) Name | Class                              | Subclass | Filing Date If Appropriate |                          |
|   |     |  |            |                   |                                    |          |                            |                          |
| <b>Foreign Patent Documents</b>   |     |  |            |                   |                                    |          |                            |                          |
| *Examiner Initial   |     | Document Number  | Date       | Country           | Class                              | Subclass | Translation                |                          |
|   |     |  |            |                   |                                    |          | YES                        | NO                       |
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| <b>OTHER ART</b>  |     |  |            |                   |                                    |          |                            |                          |
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